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## NOTICE OF ALLOWANCE AND FEE(S) DUE

24247

7590

06/18/2002

TRASK BRITT P.O. BOX 2550 SALT LAKE CITY, UT 84110 EXAMINER

QUINTO, KEVIN V

ART UNIT CLASS-SUBCLASS

257-666000

2826
DATE MAILED: 06/18/2002

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/819,874	03/28/2001	David J. Corisis	3770.3US (97-0332.2)	2037
LE OF INVENTION: IN	ITEGRATED CIRCUIT PACKA	GE ALIGNMENT FEATURE	The second and second as the second as	

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	UBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1280	\$300	\$1580	09/18/2002

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>, THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

B. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

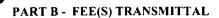
B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



### Complete and send this form, together with applicable fee(s), to: Mail Box ISSUE FEE

Commissioner for Patents Washington, D.C. 20231 (703)746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fees will be mailed to the current correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fees will be mailed to the current correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fees will be mailed to the current correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fees will be mailed to the current correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fees will be mailed to the current correspondence address.

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maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up, with any corrections or u lote: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or 24247 06/18/2002 formal drawing, must have its own certificate of mailing or transmission. TRASK BRITT P.O. BOX 2550 Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile SALT LAKE CITY, UT 84110 transmitted to the USPTO, on the date indicated below (Depositor's name (Signature (Date) FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO APPLICATION NO. 3770.3US (97-0332.2) 2037 03/28/2001 09/819.874 David J. Corisis TITLE OF INVENTION: INTEGRATED CIRCUIT PACKAGE ALIGNMENT FEATURE **PUBLICATION FEE** TOTAL FEE(S) DUE DATE DUE APPLN. TYPE SMALL ENTITY ISSUE FEE nonprovisional \$1280 \$300 \$1580 09/18/2002 CLASS-SUBCLASS ART UNIT **EXAMINER** QUINTO, KEVIN V 2826 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. single firm (having as a member a registered attorney or agent) and the names of up to 2 ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached. Use of a Customer Number is required. registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Please check the appropriate assignee category or categories (will not be printed on the patent) ☐ individual ☐ corporation or other private group entity ☐ government 4b. Payment of Fee(s): 4a. The following fee(s) are enclosed A check in the amount of the fee(s) is enclosed. ☐ Issue Fee Payment by credit card. Form PTO-2038 is attached Publication Fee The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_(enclose an extra copy of this form). ■ Advance Order - # of Copies Deposit Account Number Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Authorized Signature) (Date) NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. This collection of information is required by 37 CFR 1.311. The information is required to Inis collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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TRASK BRITT P.O. BOX 2550 SALT LAKE CITY, UT 84110			QUINTO, KEVIN V		
			ART UNIT	PAPER NUMBER	
			2826		
			DATE MAILED: 06/18/2002		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The patent term adjustment to date is 0 days. If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the term adjustment will be 0 days.

If a continued prosecution application (CPA) was filed in the above-identified application, the filing date that determines patent term adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system. (http://pair.uspto.gov)

•	Application No.	Applicant(s)	/
AL AL CAR LETTA.	09/819,874	CORISIS ET AL.	
Notice of Allowability	Examiner	Art Unit	
	Kevin Quinto	2826	
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.31	6 (OR REMAINS) CLOSED in this ap b) or other appropriate communication RIGHTS. This application is subject to	oplication. If not included n will be mailed in due cou	rse. <b>THIS</b>
1. This communication is responsive to 13 March 2002.			
2. The allowed claim(s) is/are 6,7,9-13,15 and 16.			
3. The drawings filed on 28 March 2001 are accepted by the	e Examiner.		
<ol> <li>Acknowledgment is made of a claim for foreign priority un</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> </ol>	der 35 U.S.C. § 119(a)-(d) or (f).		
1.   Certified copies of the priority documents hav	e been received.		
2.   Certified copies of the priority documents hav	e been received in Application No	·	
3.  Copies of the certified copies of the priority do	ocuments have been received in this	national stage application	from the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
<ol> <li>Acknowledgment is made of a claim for domestic priority ι</li> </ol>		sional application).	
(a) The translation of the foreign language provisional	• •		
6. Acknowledgment is made of a claim for domestic priority to	under 35 U.S.C. §§ 120 and/or 121.		
Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of	of this communication to file a reply of this application. THIS THREE-MO	complying with the requiren	nents noted TENDABLE.
7. A SUBSTITUTE OATH OR DECLARATION must be subi INFORMAL PATENT APPLICATION (PTO-152) which gives rea			ICE OF
<ul> <li>8.  CORRECTED DRAWINGS must be submitted.</li> <li>(a)  including changes required by the Notice of Draftspe</li> <li>1)  hereto or 2)  to Paper No</li> <li>(b)  including changes required by the proposed drawing</li> <li>(c)  including changes required by the attached Examine</li> </ul>	correction filed, which has t	peen approved by the Exar	
Identifying indicia such as the application number (see 37 CFR of each sheet. The drawings should be filed as a separate pape	1.84(c)) should be written on the draw r with a transmittal letter addressed to	ings in the top margin (not t o the Official Draftsperson.	he back)
9.  DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT FOR			the
Attachment(s)			
1 Notice of References Cited (PTO-892) 3 Notice of Draftperson's Patent Drawing Review (PTO-948) 5 Information Disclosure Statements (PTO-1449), Paper No. 2 7 Examiner's Comment Regarding Requirement for Deposit of Biological Material  NATHAN J. FLYNN SUPERVISORY PATENT EXAM TECHNOLOGY CENTER 28	4☐ Interview Sumn  7. 6☒ Examiner's Am  8☐ Examiner's Star  9☐ Other	nal Patent Application (PTC nary (PTO-413), Paper No. endment/Comment tement of Reasons for Allo	·
U.S. Patent and Trademark Office PTO-37 (Rev. 04-01)	lotice of Allowability	Part of	Paper No. 10
1, 10 0. (101, 04 01)	y	, 4,10	

Part of Paper No. 10 .

Application/Control Number: 09/819,874

Art Unit: 2826

## **EXAMINER'S AMENDMENT**



1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Bradley B. Jensen (Reg. No. 46,801) on June 13, 2002. The application has been amended as follows:

a. Cancel claims 1-5.

## Allowable Subject Matter

- 2. Claims 6, 7, 9-13, 15 and 16 are allowed.
- 3. The following is an examiner's statement of reasons for allowance: the examiner is unaware of any prior art which suggests a semiconductor device with an alignment feature formed in the lead frame such that the alignment feature is encompassed by an insulating material along the edge of the device. Furthermore the examiner is unaware of any prior art which suggests a semiconductor device with an alignment feature formed in the lead frame but electrically isolated from it at the same time.
- 4. Ries et al. (USPN 4,958,214) discloses a package which helps to align a semiconductor device. However this alignment package is not a part of the lead frame and therefore teaches away from the applicant's invention.

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5. Hollingsworth et al. (USPN 5,521,428) discloses a lead frame with holes. However these holes are not electrically isolated from the leads or encompassed by an insulating material.

Therefore the device of Hollingsworth does not teach the applicant's invention.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin Quinto whose telephone number is (703) 306-5688. The examiner can normally be reached on M-F 8AM-5PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nathan Flynn can be reached on (703) 308-6601. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 308-7722 for regular communications and (703) 308-7724 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0956.

June 15 PERVISORY PATENT EXAMINER TECHNOLOGY CENTER 2800